

Yue Hei<sup>1\*</sup>, Lizhou Wei<sup>1\*</sup>, Xicai Yi<sup>1</sup>, Weiping Liu<sup>1,†</sup>, Qianfa Long<sup>2,†</sup>

## Abstract

## Background

Permanent bilateral common carotid artery occlusion (BCCAO) produces a chronic cerebral hypoperfusion (CCH) state, which may cause cognitive impairments in aging, Alzheimer's disease and vascular dementia. Recent studies have noticed the crucial role of gamma amino butyric acid (GABA)ergic system in regulation of cognitive ability in a rat model of CCH, but the pathological processes of hippocampal GABAergic interneurons in CCH has not been systematically investigated so far. Here we investigated the altered amounts of GABAergic interneurons, namely parvalbumin (PV)-, neuropeptide Y (NPY)- and somatostatin (SOM)-positive cells and their possible relationship with cognitive deficits.

## Methods

55 male Sprague Dawley (SD) rats were randomly divided into BCCAO group (n=33, treated with permanent BCCAO) and sham group (n=22, same operation without ligation). Four weeks later, Morris Water Maze was used to analyze the cognitive deficits, and immunohistochemistry or western blotting was employed to investigate the GABAergic expression in the hippocampus of the rat model.

## Results

BCCAO model presented obvious cognitive deficits and neuronal loss, specifically, the expression of PV and NPY in CA1 subarea significantly decreased in comparison to the sham group (PV, \*P=0.0233<0.05 for immunofluorescence and \*P=0.0272<0.05 for western blotting; NPY, \*\*P=0.0024<0.01 for immunofluorescence and \*P=0.0391<0.05 for western blotting). In addition, Pearson's correlation analysis revealed that spatial learning and memory ability was correlated with the number of PV- and NPY-positive cells (spatial learning: r=-0.6824, \*\*P=0.0072<0.01 for PV and r=-0.7292, \*\*P=0.0031<0.01 for NPY; spatial memory: r=0.7039, \*\*P=0.0050<0.01 for PV and r=0.6887, \*\*P=0.0065<0.01 for NPY).

#### Conclusions

PV- and NPY-positive cell loss in the hippocampal CA1 subarea is involved in the cognitive impairment in a rat model of CCH.

## Keywords

Chronic cerebral hypoperfusion, Bilateral common carotid artery occlusion, GABA, Parvalbumin, Neuropeptide Y, Hippocampus

<sup>+</sup>Author for correspondence: Qianfa Long, Institute of Mini-invasive Neurosurgery and Translational Medicine, Xi'an central hospital, No. 185 Houzai Gate of North Street, Xi'an,710003, P.R. China

\*These authors contributed equally to this work.

<sup>&</sup>lt;sup>1</sup>Department of Neurosurgery, Xijing Hospital, Fourth Military Medical University, Changle West Road, Xi'an, 710032, P.R. China <sup>2</sup>Institute of Mini-invasive Neurosurgery and Translational Medicine,, Xi'an central hospital, No. 185 Houzai Gate of North Street, Xi'an, 710003, P.R. China

<sup>&</sup>lt;sup>+</sup>Author for correspondence: Weiping Liu, Department of Neurosurgery, Xijing Hospital, Fourth Military Medical University, Changle West Road, Xi'an, 710032, P.R. China

# **Research** Weiping Liu and Qianfa Long

#### Introduction

Chronic cerebral hypoperfusion (CCH) presents persistent reduction in cerebral blood flow (CBF) and mild cognitive impairment [1,2], and is associated with cognitive decline in aging, Alzheimer's disease (AD) and vascular dementia (VaD), so the pathological processes of CCH have been focused to explore the underlying mechanisms in these diseases [3-5]. Permanent bilateral common carotid artery occlusion (BCCAO), also called 2-VO, produces a chronic, global hypoperfusion state and is less severe than 4-VO (both common carotid arteries and both vertebral arteries occlusion) [2]. BCCAO is so far a common paradigm of experimental models of VaD and AD [2,6,7], and can induce diffuse white matter lesions, hippocampal neuronal cell loss, micro-infarcts and micro-hemorrhages, as well as obvious cognitive impairments such as spatial learning and memory deficits [1,6]. Generally, hippocampus is responsible of spatial learning and memory processes, specifically, neuronal damage caused by BCCAO in the hippocampus is restricted to CA1 subarea [8,9], and some studies further discovered that performance of spatial learning shows a significant correlation with the numbers of neurons in the CA1 area [10,11]. Taken together, the neuronal damage in hippocampal CA1 subarea of BCCAO rats is closely related to the spatial learning and memory impairments.

Numerous studies have found that gamma amino butyric acid (GABA)ergic interneurons in hippocampus play an essential role in the regulation of cognitive ability, and marked GABAergic cell loss and decreased GABA neurotransmission in the hippocampal subareas result in cognitive deficits in AD and VaD [9,12-15]. However, the alteration of GABAergic interneurons in the hippocampus has not been clearly identified in CCH so far, even though other pathological changes including neuronal damage, glial activation and oxidative stress have been already reported [6,10,16]. Parvalbumin (PV, a calcium-binding protein)-, neuropeptide Y (NPY, a neuropeptide)- and somatostatin (SOM, another neuropeptide)-positive cells are three well-known subclasses of GABAergic interneurons [17,18] and tend to have an essential role in the modulation of cognitive ability [19-22]. PV-positive interneurons in CA1 of hippocampus are required for spatial working [19]. Several studies have found that GABA<sub>p</sub>Rs and HCN2 locate in PV-positive cells in the rat brain, and the regulation of them has positive

outcomes in BCCAO rats [23,24]. NPY and its receptors also take a part in the modulation of neuronal functions such as learning and memory [20], and NPY-pretreatment was found to be beneficial in preventing impairments in spatial memory in AD models [25]. SOM in the brain inhibits the excitatory synaptic transmission, and plays a crucial role in memory and cognition [17,21]. Therefore, the GABAergic interneurons including PV-, NPY- and SOM-positive cells, may take an essential role in the development of CCH-induced cognitive impairments. Here, we studied the altered amounts of GABAergic cells in hippocampal CA1 subarea using immunofluorescence and western blotting, and performed correlation analysis to investigate their causal relationship with cognitive deficits induced by CCH.

#### **Materials and Methods**

#### Model establishment and experimental design

Fifty-five male Sprague-Dawley rats (230-250 g) were purchased from the Experimental Animal Center of the Fourth Military Medical University and randomly divided into sham group (n=22) and BCCAO group (n=33). Rats were housed in groups under controlled conditions (12hour light/dark cycle; 22-24°C). Food and water were provided ad libitum throughout the experiment. All experiments followed the guidelines for the Care and Use of Laboratory Animals of the National Institute of Health. Chronic cerebral hypoperfusion was induced with permanent bilateral common carotid artery occlusion (BCCAO). Rats were anesthetized with 10 % chloral hydrate (300 mg/kg, i.p.). The bilateral common carotid arteries and vagal nerves were gently exposed and separated. Each artery was permanently ligated with silk suture. And the wound caused by midline ventral incision was carefully sutured and closed. Remained 22 rats that underwent a sham operation were treated similarly, but the common carotid arteries were not ligated. After the operation, rats were allowed to recover from anesthesia before being returned to their cages. The Morris Water Maze test was carried out twenty-eight days after the surgery for 5 consecutive days, followed by immunohistochemical staining and western blotting.

#### Morris water maze (MWM)

Rats (sham group: n=6; BCCAO group: n=8) underwent MWM tests 28 days after model

establishment. The circular tank (divided into four quadrants) was 170cm in diameter and the black platform (1cm below the water surface) was located in the center of quadrant IV. The experiment consisted of a four-day hidden platform test and a one-day single probe test. The procedure was described as previous report [9]. Briefly, the rats were released to the water from a randomized quadrant after training to search for the hidden platform. The time limit to locate the platform was 60 s (interval time was 10 s). The escape latency was recorded. Then we removed the platform in the pool on Day<sup>32</sup> and performed the probe trial. The rats swam in the pool for a fixed time (60 s) and the percentage of time spent in the target, the number of platform crossings and the swimming speed were recorded.

## Immunohistochemistry and cell counting

Rats (sham group: n=6; BCCAO group: n=8) were sacrificed to observe the altered GABAergic cells on Day<sup>33</sup> after MWM test. Rats were anesthetized using 300 mg/kg chloral hydrate and perfused with 0.9% phosphate-buffered saline (PBS, pH 7.3) followed by 4% paraformaldehyde. The brains were removed and immersed in 4% paraformaldehyde overnight. Brain samples were routinely dehydrated, embedded in paraffin, and cut into several sections (bregma from -2.64 mm to -3.48 mm). These sections were immersed in 0.3% H<sub>2</sub>O<sub>2</sub> at room temperature for 30min followed by 0.15% Triton for 5 min and then 3% albumin from donkey serum for 30 min. The sections were incubated overnight with rabbit anti-NeuN antibody (1:200; ab177487; Abcam) or mouse anti-NeuN antibody (1:100, MAB377, Millipore), rabbit anti-Parvalbumin antibody (1:500; ab11427; Abcam), rabbit anti-Somatostatin antibody (1:200; ab183855; Abcam) and rabbit anti-Neuropeptide Y antibody (1:200; ab30914, Abcam). Then, sections for NeuN-immunohistochemical staining were processed with horseradish peroxidase (HRP)-conjugated IgG, streptavidin-biotin complex (SABC) and 3.3'-diaminobenzidine tetrahydrochloride (DAB) (Dako EnVision Dual Link System HRP, Dako) and observed by light microscope. The rest of the sections were detected using secondary antibodies (goat antirabbit Alexa Fluor 488-conjugaged and goat antimouse Alexa Fluor 594-conjugaged) (1:1000, Invitrogen) at room temperature for 3h, followed by 0.0001% 4',6-diamidino-2-phenylindole (DAPI, Sigma) staining for 10min. Images

were acquired using a confocal laser scanning microscope (FV1000, JPN). The number of NeuN, parvalbumin (PV), neuropeptide Y (NPY) and somatostatin (SOM)-positive cells was counted by Image pro 6.0 (magnification, 200×) in hippocampal CA1 subarea (including stratum oriens (SO), pyramidale (SP) and radiatum (SR)) which was delineated for each section according to Paxinos and Watson [26]. Data obtained from the multiple sections (at least 10 sections) by 3 investigators were averaged to obtain a single estimate for each animal and then used for quantification.

# Western blotting

Cell proteins were extracted on Day<sup>33</sup> from the hippocampal CA1 area of rats (sham group: n=6; BCCAO group, n=8) for immunoblotting analysis using the BioRad protein assay kit (Hercules, DE, USA) and measured using micro-BCA protein assay (Pierce, UT, USA). Protein samples were fractionated with 10 % SDS-PAGE gel, transferred to 0.45-lm PVDF membranes, and stained with Ponceau red to confirm equal loading. The blots were blocked with 5 % non-fat milk at room temperature for 1 h. Membranes were then incubated with primary antibodies, rabbit anti-PV (1:2000), rabbit anti-SOM (1:1000), rabbit anti-NPY (1:1000) and rabbit anti-β-actin (1:2000) (ab8227, Abcam) in TBST at 4 °C overnight, washed three times with TBST, and incubated with peroxidase- conjugated secondary antibodies (anti-rabbit, 1:3000, Santa Cruz) for 30 min. Membranes were washed three times with TBST, and labeled proteins were visualized with chemiluminescence (SuperSignal West Pico, Pierce). Semiquantification of the electrophoresis bands on X-ray film was analyzed using Quantity One 4.6.2 software. Densities were normalized to  $\beta$ -actin.

#### **Statistical analysis**

The behavioral and morphological assessments were performed by treatment-blinded investigators. All of the data were expressed as mean ± SEM. Results of MWM test were first statistically analyzed by repeated measures (General Linear Model), and then individual day comparisons were analyzed by Student's t-test, which was also used to analyze the results from time spent in the target quadrant and the number of target crossings. Correlations between the measures of cognitive function and morphological changes in BCCAO rats were analyzed by Pearson's correlation coefficients, corrected for multiple comparisons using Bonferroni correction. The data were performed using SPSS 19.0 (SPSS Inc.). P<0.05 was considered statistically significant.

## Results

Figure 1 shows the impaired cognitive function and neuronal loss in hippocampal CA1 subarea in rats subjected to BCCAO. Overall, 33 rats underwent the BCCAO surgery and 10 rats died within the first three days after the surgery. The Morris Water Maze test was carried out from Day<sup>28</sup> to Day<sup>32</sup>. The BCCAO group showed significant cognitive impairments compared to the sham group in the hidden platform tasks (\*\*P=0.0077<0.01 for Day<sup>29</sup>, \*\*\*\*P<0.0001 for Day<sup>30</sup>, \*\*\*\*P<0.0001 for Day<sup>31</sup>, **Figure 1A**) and in the single probe test (\*\*\*\*P<0.0001, **Figure 1B** and \*\*\*\*P<0.0001, **(Figure 1c)**). While no significant difference was found between the two groups in terms of swimming speed (**Figure 1D**). Significant neuronal loss in the hippocampal



Figure 1: Cognitive impairments and neuronal loss.

(A) The escape latency in the hidden platform task. (B) The percentage of time spent in the target quadrant during the single probe task. (C) The number of platform crossings in the single probe task. (D) The swimming speed. (E) NeuN immunostaining in the hippocampal CA1 subarea. (F) Quantification of NeuN-positive cells in CA1. Horizontal bar=50 $\mu$ m. (F) Values are expressed as mean ± SEM. For both experiments (Morris Water Maze and immunohistochemistry), sham group: n=6; BCCAO group: n=8. \**P*<0.05, \*\**P*<0.01, \*\*\*\**P*<0.001 vs. sham group. Abbreviations: BCCAO, bilateral common carotid artery occlusion; PT (%), percentage of time.

CA1 subarea was found in the BCCAO group  $(84.14 \pm 10.33)$  compared to the sham group  $(128.73 \pm 17.15)$  (\**P*=0.0359<0.05) according to NeuN-immunohistochemical staining (Figure 1B).

**Figures 2 and 3** illustrate the significantly decreased GABAergic expression in the hippocampal CA1 subarea in rats subjected to BCCAO. Immunofluorescence experiments were performed on Day<sup>33</sup> to estimate the numbers of the GABAergic interneurons (including PV-, NPY- and SOM-positive neurons) of the two groups (Figure 2A-B). These experiments revealed that the population of PV- and NPY-positive neurons in the CA1 region decreased in the BCCAO group compared to the sham group

(\*P=0.0233<0.05 for PV; \*\*P=0.0024<0.01 for NPY), but the SOM-positive neurons in the BCCAO group showed no significant difference compared to the sham group (\*P=0.4140>0.05). Besides, western blotting results further manifested that the relative protein levels of PV and NPY in the CA1 subarea significantly decreased compared to the sham group (\*P=0.0272<0.05 for PV; \*P=0.0391<0.05 for NPY), while no significant difference was found in the protein levels of NPY between the sham group and BCCAO group (\*P=0.0618 >0.05) (Figure 3A-B).

Furthermore, Pearson's correlation analysis revealed that spatial learning and memory ability directly correlated with the numbers of

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B



Figure 2: GABAergic neuronal loss in the hippocampal CA1 subarea.

(A) Doubled immunostained subclasses of GABAergic interneurons (PV, NPY, SOM) (green) with NeuN (red) and DAPI (blue) in the sham group and the BCCAO group, the horizontal bar=50µm; (B) Quantification of subclasses of GABAergic interneurons in the CA1 subarea, the data are expressed as mean ± SEM. Sham group: n=6; BCCAO group: n=8. \*P<0.05, \*\*P<0.01 vs. sham group. Abbreviations: BCCAO, bilateral common carotid artery occlusion; PV, parvalbumin; SOM, somatostatin; NPY, neuropeptide Y.

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PV- and NPY-positive cells in the hippocampal CA1 subarea in BCCAO rats (Figure 4A-**F**). The escape latency on  $Day^{31}$  in the water maze was defined as the performance of spatial learning  $(24.21 \pm 2.69)$ ; the time spent in the target quadrant in probe trial was defined as the performance of spatial memory  $(40.30 \pm 4.11)$ , as described before [10,27]. And the calculated numbers of GABAergic cells were: PV, 24.93 ± 1.01; NPY, 23.93 ± 1.14; SOM, 36.14 ± 0.91 (all of the data are expressed as mean ± SEM). The main findings of the correlation analysis were that (1) the performance of spatial learning showed a significant negative correlation with **PV-positive** neurons (r=-0.6824, \*\*P=0.0072<0.01), and NPY-positive neurons (r=-0.7292, \*\*P=0.0031<0.01) in CA1 subarea, but not SOM-positive cells (r=-0.4358, P>0.05); (2) the performance of spatial memory showed a significant positive correlation with PV-positive cells (r=0.7039, \*\*P=0.0050<0.01), NPY-

positive cells (r=0.6887, \*\**P*=0.0065<0.01), but not SOM-positive cells (r=0.3942, *P*>0.05).

#### Discussion

Chronic cerebral hypoperfusion (CCH) causes learning and memory deficits and up-regulates the risk of vascular dementia (VaD) and Alzheimer's disease (AD) through pathological processes such as neuronal damage, glial activation, and oxidative stress, etc. [1,2,6]. Previously, we and others have observed GABAergic dysfunction in animal models of bilateral common carotid artery occlusion (BCCAO), including reduced expression of GAD67 and GABA<sub>B</sub>Rs (which may function through restoring HCN1/ HCN2 surface expression) in CA1 region and cerebral cortex, and decreased excitability of CA1 interneurons [12,23,24]. But the altered amounts of subclasses of GABAergic cells, such as parvalbumin (PV)-, neuropeptide Y



Figure 3: Decreased protein levels of GABAergic expression in the hippocampal CA1 subarea.

(A) The relative protein levels of PV, NPY and SOM in the CA1 subarea detected by western blotting; (B) Quantification of the bands. Densities were normalized to  $\beta$ -actin. Data are expressed as mean  $\pm$  SEM. Sham group: n=6; BCCAO group: n=8. \*P<0.05 vs. sham group. Abbreviations: BCCAO, bilateral common carotid artery occlusion; PV, parvalbumin; SOM, somatostatin; NPY, neuropeptide Y.



Figure 4: Pearson's correlation between the performances of spatial learning and memory and the number of GABAergic interneurons.

(A-C) Correlation between the performance of spatial learning (s) vs. (A) PV interneurons, (B) NPY interneurons, (C) SOM interneurons; (D-F) Correlation between the performance of spatial memory (%) vs. (D) PV interneurons, (E) NPY interneurons, (F) SOM interneurons. The performance of spatial learning: time latency (s) on Day31 in MWM; the performance of spatial memory: percent of time (%) spent in the target quadrant in MWM. Abbreviations: PV, parvalbumin; SOM, somatostatin; NPY, neuropeptide Y. Note that the performance of spatial learning showed negative correlation with PV interneurons (r=-0.6824, \*\*P<0.01) and NPY interneurons (r=-0.6827, \*\*P<0.01), and the performance of spatial memory showed positive correlation with PV interneurons (r=0.7039, \*\*P<0.01) and NPY interneurons (r=0.6887, \*\*P<0.01). No significant correlation was found in regard to SOM interneurons.

(NPY)- and somatostatin (SOM)-positive cells, in the hippocampus of BCCAO rats have not been systematically investigated so far. In the present study, we found that (1) BCCAO could induce obvious cognitive deficits and neuronal loss in CA1 subarea; (2) GABAergic cell loss in CA1 caused by BCCAO were PV- and NPYpositive interneurons, but not SOM-positive interneurons; (3) The population of PV- and NPY-positive interneurons in the hippocampal CA1 subarea correlated with spatial learning and memory performances.

Permanent BCCAO in rats has been wellestablished model to investigate the effects of CCH on cognitive dysfunction and neurodegenerative processes [6,28,29]. Rat BCCAO is quite close to most clinical VaD in comparison with 4-VO [6]. Some scientists found that impaired learning and memory tested by Morris Water Maze (MWM) appears obviously after Day<sup>7</sup>, but some hippocampal changes exist within 4 weeks [11,30]. We performed MWM on Day<sup>28</sup> after surgery and found obvious cognitive deficits, which is consistent with previous findings [15,23,31]. Apart from MWM test, some studies have reported that the radial arm maze (food serves as a reward, suitable for investigation of spatial working memory and spatial reference memory) and T mazes (cerebral cortex-dependent, suitable for investigation of working memory) can be used to evaluate the cognitive impairments in BCCAO rats as well [10].

BCCAO produces reductions of oxygen and glucose in brain, which may in turn cause neuronal loss [7]. As expected, we observed dramatic NeuN-positive cell (which represents the mature neurons) loss in the CA1 region. Although differences in the brain vulnerability to ischemia are observed in most strains of BCCAO models, a similar pattern of selective hippocampal CA1 neuronal loss has been found [10,11,32]. The severity of hippocampal neuronal loss in the BCCAO models may be different in terms of animals (such as rat, mouse and gerbil) [9,32], time of occlusion [12,33], etc. Furthermore, significant cell loss of hippocampal GABAergic interneurons has been reported in the neurodegenerative diseases as well, such as AD and aging [34,35]. However, the altered population of specific subclasses of GABAergic cells in the hippocampus remains unclear in CCH. In this study, we found that PV and NPY interneurons, but not SOM interneurons, significantly decreased in CA1 area. As the BCCAO model used here presents chronic and moderate ischemia, the altered amounts of these specific GABAergic cells could be different in comparison to the results in acute ischemia. The numbers of PV- and NPY-positive cells slowly reduced in the hippocampus in acute cerebral ischemia, and SOM-positive cells decreased in transient global ischemia as well, but they tend to be resistant to ischemia when co-localized with PV [36-39]. Meanwhile, Luo et al. [23] recently reported the unchanged amounts of GABAergic cells in the prefrontal cortex during CCH, and suggested that deficits of cognitive ability induced by CCH may also result from the GABAergic interneuron dysfunction (such as alteration of electrophysiological properties). It seems that the alteration of the population of GABAergic cells differs in areas of the rat brain in CCH. We did observe significant GABAergic cell loss in the hippocampal CA1 subarea in CCH. Apart from this, the population of GABA- and GAD67positive cells in hippocampal CA1 subarea also significantly declines during CCH according to our previous findings [9]. These findings may reflect the selectively impaired GABAergic function in hippocampal CA1 subarea.

GABAergic cell loss in the hippocampus has been reported in animal models of AD, VaD, aging and epilepsy, all of which present cognitive impairments at different levels according to the previous research [9,22,25,35,40]. These findings indicate that there may be a causal relationship between these two factors. In terms of CCH, numerous studies indicated that the overall neuronal loss in CA1 region is associated with cognitive impairments (tested by MWM and T-maze), and even the death rate of rats subjected to BCCAO surgery [10,41]. But it is still unknown whether the

population of specific subclasses of GABAergic cells correlates with cognitive performance in CCH or not. Here we found that the amount of two subclasses of GABAergic interneurons (PV and NPY) significantly correlated with cognitive performance in BCCAO rats, which indicated that these two subclasses of GABAergic interneurons take a part in the pathogenesis of cognitive deficits induced by CCH. However, it remains controversial about the results of correlations between cognitive significant deficits and GABAergic cell loss in the brain over the years. Miettinen et al. [42] reported that the PV-containing neurons (in the cortex) correlates with performance deficits in spatial learning in aged rats and Dournaud et al. [43] demonstrated that SOM-containing elements (in the frontal and temporal lobes) are relevant to cognitive decline in AD patients. On the other hand, Alom et al. [44] evaluated the cerebrospinal fluid NPY (measured by radioimmunoassay) in 20 patients with AD and did not found significant correlation between NPY and degree of cognitive impairments in these patients. It seems that the accurate correlation analysis between these two factors depends on stable experimental conditions and large number of samples. In the present study, we finally observed significant correlations between cognitive ability and PV- and NPY-positive cells. Taken together, our results suggested that the PV- and NPY-positive cell loss in hippocampal CA1 subarea is involved in the development of cognitive impairments in a rat model of CCH.

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