



# Effect of Memantine on Brain Metabolic Activity and Perfusion in Drug-naïve Moderate Alzheimer's Disease Patients

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## Abstract

**Objective:** Memantine is a noncompetitive N-methyl-D-aspartate receptor (NMDAR) antagonist that improves or stabilizes cognitive impairment in moderate to severe Alzheimer's disease (AD). However, the effects of memantine on regional brain metabolic activity and perfusion are not fully known. To clarify these effects, we investigated the efficacy of memantine monotherapy using multimodal neuroimaging in drug-naïve patients with moderate AD.

**Methods:** This was a prospective open-labeled study of patients with drug-naïve moderate AD (Mini-Mental State Examination scores of 14-19) before and after 12 weeks of treatment with memantine, conducted between April 2015 and December 2016. Imaging was performed using 18F-fluorodeoxyglucose-positron emission tomography (18F-FDG-PET) and 99mTc-ethyl cysteinatate dimer-single photon emission computed tomography (99mTc-ECD-SPECT), to assess brain metabolic activity and perfusion, respectively. The imaging data, registered to a probabilistic anatomical atlas, were evaluated by voxel-based analysis.

**Results:** A total of 20 patients were enrolled and 17 patients' datasets were analyzed. The average Mini-Mental State Examination was 16.6 (1.8) at baseline and 16.9 (4.1) post-treatment. The average Neuropsychiatric Inventory score was 2.8 (7.6) at baseline and 3.9 (9.2) post-treatment. Brain regions with increased metabolic activity following memantine treatment in previously drug-naïve AD patients included a wide range of cerebral cortices, particularly the right inferior parietal lobule, right supramarginal gyrus, right angular gyrus, and right paracentral lobule ( $p < 0.01$ , paired t-test). Only small regions had increased brain perfusion ( $p < 0.01$ , paired t-test).

**Conclusion:** We believe this is the first study focusing on brain metabolic activity and perfusion in the same drug-naïve moderate AD patients before and after memantine treatment. There were inconsistencies between the regions with increased metabolic activity and perfusion after memantine treatment in drug-naïve AD patients, suggesting that brain metabolism may increase without a concurrent increase in blood perfusion. This study may help elucidate the mechanism of action of memantine.

## Keywords

Alzheimer's disease, memantine, 18F-FDG, PET, 99mTc-ECD, SPECT, MMSE, NPI

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## Introduction

Alzheimer's disease (AD) is the most common dementia, and the development of drug therapies for AD has advanced in recent years. Based on the pathophysiology hypothesis of AD, two drug types, N-methyl-D-aspartate receptor (NMDAR) antagonists and cholinesterase inhibitors (ChEIs), have been used clinically in AD patients to date.

Memantine, the only noncompetitive NMDAR antagonist, has been used clinically, to improve or stabilize cognitive impairment in moderate to severe AD [1,2]. However, the effects of memantine on regional brain metabolic activity and perfusion are not fully known.

Regional perfusion on single photon emission computed tomography (SPECT) is typically reduced in the parietal, temporal lobe, and posterior cingulate regions of AD patients [3-7]. 18F-fluorodeoxyglucose-positron emission tomography (18F-FDG-PET) measures regional cortical metabolic activity and has found that the metabolic rate in the parietal and temporal cortex is reduced early in the course of AD [8,9]. Cortical metabolism declines as Alzheimer's disease progresses [10-13].

It has been reported that the degree of uptake on 18F-FDG-PET and 99mTc-ethyl cysteinate dimer-SPECT (99mTc-ECD-SPECT) in patients with AD and mild cognitive impairment shows significant correlations in the frontal, temporal, and parietal lobes [14].

Many previous studies have measured perfusion and metabolic activity in AD patients treated with ChEIs. ChEIs treatment increases cortical blood flow in the frontal lobe [15,16], the right anterior cingulate, the dorsolateral prefrontal, and the temporoparietal areas bilaterally [17]. Another study demonstrated that ChEIs preserve cortical blood flow in right middle temporal gyrus [18] and the occipital precuneus [19]. Treatment with ChEIs has a positive effect on cerebral metabolism in the frontal region [20].

However, no previous study has measured changes in regional brain perfusion in patients with AD before and after memantine treatment. Additionally, studies about brain metabolic activity measured by 18F-FDG-PET in AD patients treated with memantine are a few. Sultzer et al. reported that metabolic activity in the bilateral inferior temporal gyri and angular gyri and supramarginal gyri increased after 10 weeks of memantine treatment in patients with

AD on stable ChEI medication [21]. However, no previous study has simultaneously examined 18F-FDG-PET and 99mTc-ECD-SPECT on the same drug-naïve AD patients after memantine treatment alone.

It remains to be clarified how change in brain metabolic activity and perfusion occurs in previously drug-naïve AD patients after memantine treatment alone. Another question is whether the effects of memantine treatment assessed by brain functional imaging such as 99mTc-ECD-SPECT and 18F-FDG-PET are similar. To answer these questions, we investigated the efficacy of 12 weeks of memantine monotherapy using multimodal imaging (18F-FDG-PET and 99mTc-ECD-SPECT) in drug-naïve patients with moderate AD.

## Materials and Methods

### ■ Study design

This study was conducted between April 2015 and December 2016. Drug-naïve patients with moderate AD underwent imaging assessments with 18F-FDG-PET and 99mTc-ECD-SPECT. Each patient then received open-label treatment with memantine for 12 weeks, and the clinical assessments and imaging assessments were repeated after the treatment.

### ■ Participants

Twenty participants (6 men and 14 women) who had been diagnosed with Alzheimer's disease (AD) were recruited from the outpatients of Sunagawa City Medical Center Hospital for Psychiatry, Sunagawa, Japan. The inclusion criteria were: 1) patients who met the clinical diagnosis of AD based on the criteria of both the Diagnostic and Statistical Manual of Mental Disorders 5th edition (DSM-5) and the National Institute on Aging-Alzheimer's Association (NIA-AA); and 2) patients with baseline MMSE scores of 14 to 19.

Participants were excluded if they had the following: dementia due to other than Alzheimer's disease; evidence of other neurologic or psychiatric disorders; any medication with central nervous system activity; having serious health problems, and abnormal results of biochemical analysis that may affect cognition. All candidate patients were examined by experienced psychiatrists and received full clinical assessment, which included standard dementia screening with the Mini-Mental State

## Effect of Memantine on Brain Metabolic Activity and Perfusion in Drug-naïve Moderate Alzheimer's Disease Patients

Examination (MMSE), routine blood tests with complete blood count, biochemistry, thyroid function tests, vitamin levels, standard urine analysis. MMSE and Neuropsychiatric Inventory (NPI) assessments were made for each patient on the day they visited for SPECT scans. Informed written consent was obtained from all included participants and their relatives.

This study was carried out according to the Declaration of Helsinki. Each participant's privacy was protected, and the protocol was approved by the Ethics and Radiation Safety Committees of Sunagawa City Hospital, Sunagawa, Japan.

### ■ Memantine treatment

On the day following 18F-FDG-PET imaging, patients started taking open-label memantine 5 mg once daily. The dosage was increased over 4 weeks, rising 5 mg per week to a final dosage of 20 mg once daily.

### ■ Clinical assessments

Each participant's dementia symptoms were assessed using the MMSE and NPI. The MMSE was included as an overall measure of cognitive impairment. The NPI assesses behavioral and psychological disturbances occurring in patients with dementia. Both the severity and frequency of each symptom were measured, and this information was obtained from a caregiver familiar with the patient [22].

### ■ 18F-FDG-PET imaging

PET imaging of cerebral metabolic activity in the resting state was performed using a Discovery PET/CT 600 scanner (GE Health care, Milwaukee, WI, USA). Each participant received an intravenous injection of 169.5–325.6 MBq 18F-FDG purchased from Nihon Medi-Physics Co., Ltd. Participants rested quietly in a dimly-lit room during the 40-minute uptake phase. They were then positioned symmetrically dorsally in the scanner. For the acquisition of PET imaging, a 15-minute emission scan in list-mode was performed after the CT scan for attenuation correction. PET images were reconstructed with 3D ordered subset expectation maximization (VUE Point HD).

### ■ 99mTc-ECD-SPECT imaging

Each participant received a 444.0–1085.0 MBq intravenous injection of 99mTc-ECD as a commercially supplied kit (Neurolite<sup>®</sup> injection Daiichi; Fujifilm RI Pharma, Japan) while lying down with their eyes closed in a

quiet room. Nine minutes after the injection of 99mTc-ECD, brain SPECT was performed for 20 minutes using an E.CAM Signature Series scanner (Toshiba Medical Systems Corporation, Tokyo, Japan) equipped with a low energy high resolution collimator. Projection data were obtained in continuous mode, for 90 steps of 360° at 4° per step. The scanned data were prefiltered with a Butterworth filter (order 8 and a cut off at 0.11 cycles/pixel). Brain images were reconstructed with filtered back projection. Attenuation correction was performed using Chang's method.

### ■ Imaging and data analyses

PET and SPECT data were analyzed using PMOD 3.5 software (PMOD Technologies, LLC, Switzerland) and statistical parametric mapping 8 (SPM8; Wellcome Trust Centre for Neuroimaging) in Matlab 8 (MathWorks, Inc. Natick, MA, USA). Initially, all imaging data after the reconstruction was re-positioned, and then cortical metabolic activities were corrected by the standardized uptake value (SUV) of 18F-FDG using PMOD. PET images were normalized to Montreal Neurological Institute (MNI) atlas space using the PET template in SPM8 and smoothed using an 8 mm Gaussian filter. SPECT data were also normalized to MNI atlas space using the SPECT template in SPM8 smoothed with an 8 mm Gaussian filter. The global mean uptake in the entire brain was estimated by region-of-interest analysis referring to the Automated Anatomical Labeling (AAL) atlas, and then the SPECT brain perfusion pattern was evaluated by the whole brain uptake ratio (WBR). PET and SPECT images in the baseline condition (pre-memantine treatment) were compared with the post-treatment condition (after 12 weeks of memantine treatment) using the paired *t* test procedure in SPM8, with *p*<0.01 for PET and SPECT at the voxel level, respectively. In addition to the SPM analysis, volume of interest (VOI) analysis was performed utilizing VOIs defined in the PMOD AAL atlas. Each PET (SUV) and SPECT (WBR) value of each VOI was obtained from normalized images. SUV and WBR values between baseline and post treatment were compared using paired *t*-tests.

### ■ Statistical analysis

Statistical analyses were performed with the R Statistical Software Package version 3.1.0 (R Core Team. Foundation for Statistical Computing, Vienna, Austria). Descriptive statistics and

frequency distributions of baseline demographics and cognitive scores were summarized. Data from clinical assessments were analyzed using paired t-tests for comparison between baseline and after 12 weeks of memantine treatment. Statistical significance was set at  $p < 0.01$ .

## Results

### ■ Patient Characteristics

Of the 20 patients enrolled three were excluded from the analysis: One patient was not administered with memantine at first visit, one lacked second scan data due to health reasons not related to memantine administration, and the third was revealed to have Lewy body dementia. The other 17 patients consisted of 6 men and 11 women, with an average age of 80.1 (6.2) years old. At baseline the mean (standard deviation [SD]) onset age was 78.3 (6.7) years old, mean education 9.5 (1.2) years, and the average body weight 55.3 (13.5) kg. The average MMSE was 16.6 (1.8) at baseline and 16.9 (4.1) post-treatment. The average NPI score was 2.8 (7.6) at baseline and 3.9 (9.2) post-treatment. The patient characteristics are shown in **Table 1**.

### ■ Changes in brain metabolic activity

Brain regions showing significant increases in brain metabolism are highlighted in **Figure 1**. Significant increases in metabolic activity were observed in wide range of whole cerebral cortical areas. VOIs showing significant increases in SUV were observed in the right inferior parietal lobule, right supramarginal gyrus, right angular gyrus, and right paracentral lobule (**Table 2**).

### ■ Changes in cerebral blood flow

Brain regions with significant increases in cerebral blood flow are shown in **Figure 2**. Although a wide range of whole cerebral cortexes showed significant increases in brain metabolism (**Figure 1**), the brain regions in which significant increases in cerebral blood flow occurred were quite limited. No regions had VOIs with significant increases in WBR.

## Discussion

This study shows, for the first time, a significant increase in brain metabolic activity in wide range of whole cerebral cortexes in drug-naïve AD patients after 12-weeks of memantine

**Table 1: Baseline demographic characteristics and clinical assessment scores at baseline and after 12 weeks memantine treatment.**

Characteristics	Baseline (n = 17)	Posttreatment (n = 17)	P value <sup>d)</sup>
Men <sup>a)</sup>	6 (35)		—
Women <sup>a)</sup>	11 (65)		—
Age, mean (SD), y	80.1 (6.2)		—
On set age, mean (SD),y	78.3(6.7)		
Education, mean (SD), y	9.5 (1.2)		—
Weight, mean (SD), kg	55.3 (13.5)		—
MMSE score <sup>b)</sup> , mean (SD)	16.6 (1.8)	16.9 (4.1)	0.75
NPI score <sup>c)</sup> , mean (SD)	2.8 (7.6)	3.9 (9.2)	0.11

Abbreviations: MMSE, Mini-mental State Examination; NPI, Neuropsychiatric Inventory.

a) Data are No. (%).

b) Lower score reflects greater cognitive impairment

c) Lower score reflects fewer behavioral and psychological symptoms.

d) Paired t test, post-treatment versus baseline (df = 16).

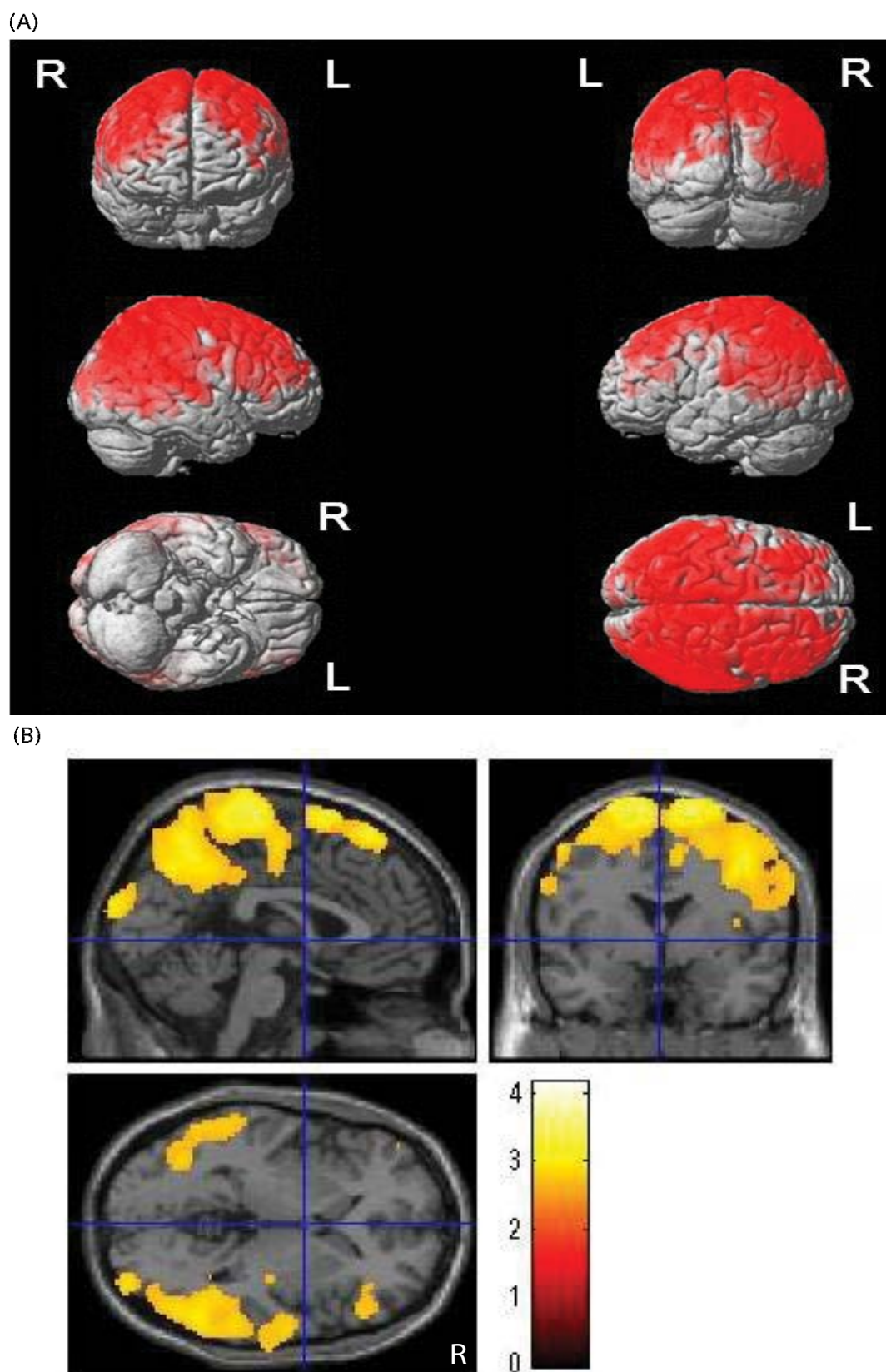
**Table 2: VOIs showing significant increases ( $p < 0.01$ ) in SUV or WBR after 12 weeks of memantine treatment.**

VOI	FDG-PET(SUV)			ECD-SPECT(WBR)		
	baseline	post-treatment	P value <sup>a)</sup>	baseline	post-treatment	P value <sup>a)</sup>
Inf_Parietal_r	6.07	7.04	0.009	0.98	0.98	0.952
Supra_Marginal_r	5.99	6.84	0.009	1.05	1.06	0.445
Angular_r	6.07	7.02	0.009	0.97	1.00	0.035
Paracentral_Lobule_r	5.97	6.84	0.006	1.07	1.06	0.305

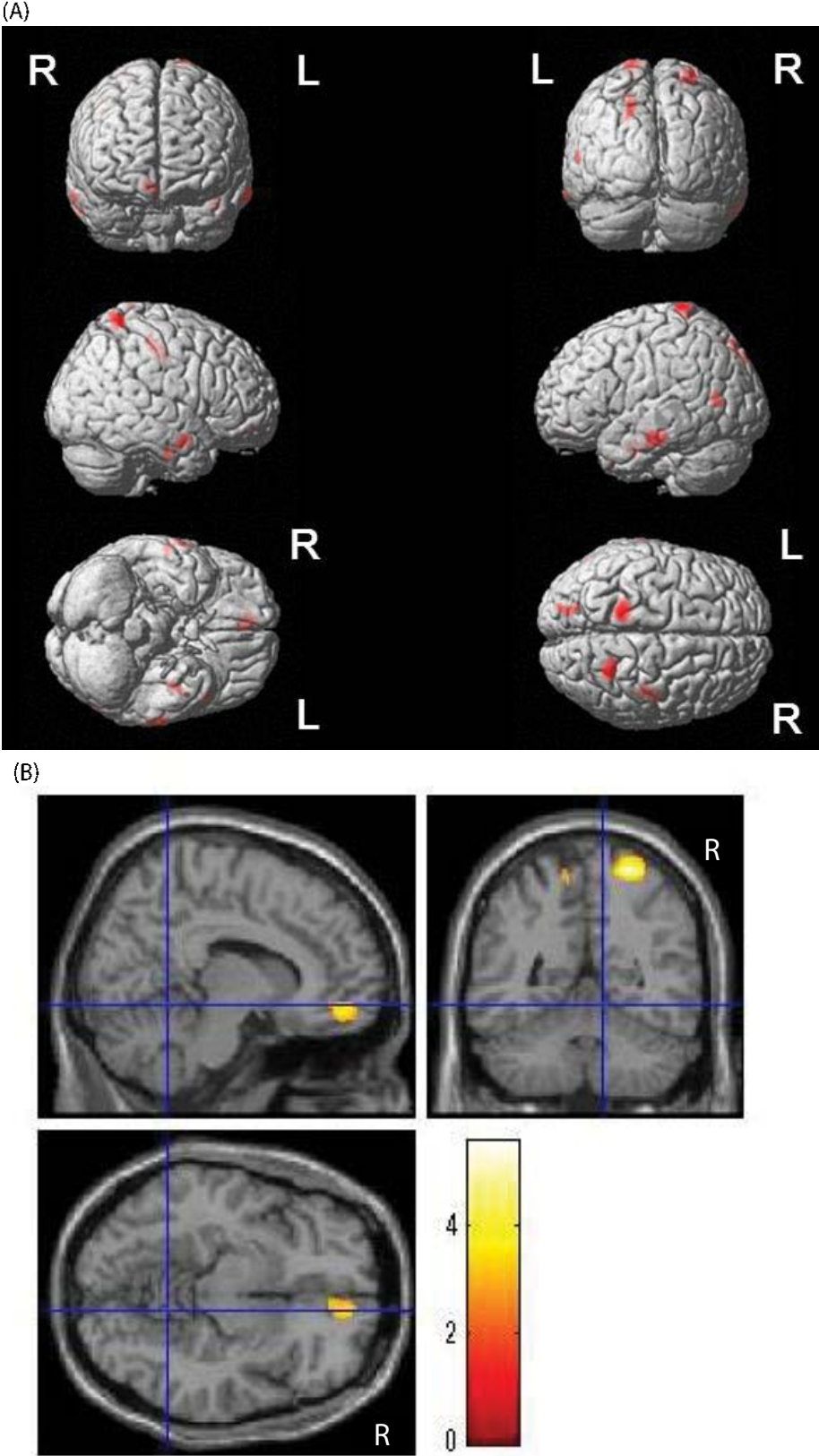
Abbreviations: SUV, standardized uptake value; WBR, whole brain ratio; Inf\_Parietal\_r, right inferior parietal lobule; Supra\_Marginal\_r, right supramarginal gyrus; Angular\_r, right angular gyrus; Paracentral\_Lobule\_r, right paracentral lobule; VOI, volume of interest.

a) Paired t test, post-treatment versus baseline (df = 16).





**Figure 1:** Statistical maps of higher metabolic activity after 12 weeks of memantine treatment compared with baseline. Regions with significantly higher metabolism ( $p < 0.01$ ; paired t-test) superimposed on a standard 3-dimensional anatomic template (3D-render) (A) and co-registered MRI slices (B).



**Figure 2:** Statistical maps of higher cerebral blood flow after 12 weeks of memantine treatment compared with baseline. Regions with significantly higher blood flow ( $p < 0.01$ ; paired t-test) superimposed on a standard 3-dimensional anatomic template (3D-render) (A) and co-registered MRI slices (B).

## Effect of Memantine on Brain Metabolic Activity and Perfusion in Drug-naïve Moderate Alzheimer's Disease Patients

treatment alone. Additionally, we revealed that only a limited number of brain regions showed significant increases in cerebral blood flow after 12 weeks of sole memantine treatment in the same previously drug-naïve AD patients.

The regions of metabolic increases in this study were wider than those seen in the previous study by Sultzer et al. [21]. We speculate that the difference in the ranges of the two studies might be due to the different study designs. While we administered memantine to drug-naïve AD patients, Sultzer et al. added memantine treatment to patients on stable ChEI medication, and so the effect of memantine alone could not be assessed in their study. Actually, increased brain metabolism in left prefrontal cortex was demonstrated in a previous study of ChEI treatment [23]. Conversely, the metabolic increases in the angular and supramarginal gyri observed in our study were consistent with that observed by Sultzer et al. [21]. We speculate that the increased metabolic activity in these regions was due to memantine.

This is the first study to investigate the same drug-naïve AD patients before and after memantine treatment using 18F-FDG-PET and 99mTc-ECD-SPECT. We found that the regions with increased activity on 18F-FDG-PET and 99mTc-ECD-SPECT were not consistent. This suggests that the increase in brain metabolism following memantine treatment is not directly caused by an increase in regional blood flow.

The targets of memantine, NMDARs, are one of the key players in pathophysiology of AD [24,25]. There are two types of NMDARs, synaptic NMDARs (sNMDARs) and extrasynaptic NMDARs (eNMDARs). It is believed that eNMDARs are linked to cell death signaling, and that sNMDARs are associated with cell survival signal [26,27]. In AD patients, A $\beta$  accumulation sequentially induces astrocytic glutamate release, increases of eNMDAR activity, and synaptic loss due to eNMDAR-mediated excitotoxicity [26,28-31]. Furthermore, eNMDAR activation impairs long-term potentiation through excessive Ca<sup>+</sup> influx, which also impairs neuronal plasticity [32,33]. The loss of synapses and the impairment of neuronal plasticity induced by eNMDAR activation are most likely the causes of learning and memory impairment [24,26].

The therapeutic mechanisms of memantine could involve preferentially blocking eNMDAR activation [34] and its downstream signaling, enhancing neuronal survival and synaptic

plasticity, and suppressing the impairment of long term potentiation in brains of AD patients. We hypothesize that enhancement of neuronal survival and synaptic plasticity, and normalization of long term potentiation caused by blockage of eNMDAR, might increase brain metabolism before cerebral blood flow is increased by synaptic dysfunction recovery.

Chen et al. reported that the potentiation of brain-derived neurotrophic factor (BDNF) levels in serum and brain are observed in rats treated with low-dose memantine [35]. BDNF plays crucial roles in neuronal survival, neurotransmitter modulation, and leads to neuronal plasticity throughout its tyrosine kinase receptors B [36,37]. In addition, BDNF shows neuroprotective activity by increasing sNMDAR activity and reducing eNMDAR activity [38]. Such neurotrophic effects of memantine might cause neuroprotection and neuro-regeneration, and inhibit memory impairment in AD patients.

Memantine has been reported to suppress the worsening of behavioral and psychological symptoms of Alzheimer's patients [1,2]. In our study, apparent trend in worsening of the patients at the end of the memantine treatment on the NPI score was observed (**Table 1**). The patients enrolled in our study did not show high behavioral and psychological symptoms at the base line (NPI score: 2.8), and one particular patient showed confusing increase of the NPI score. These may be one of the reasons for the apparent trend in worsening of NPI in our study.

There are limitations to our study. As this study was an open-label single arm exploratory study with a small number of patients (n = 17), additional larger scale double-blind, placebo-controlled studies are necessary for further verification of our findings.

We believe this is the first study focusing on brain metabolic activity and perfusion in the same moderate AD patients before and after memantine treatment. Our findings suggest that memantine, independently from any increase in blood flow, improves brain metabolism in patients with moderate AD.

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### Competing and conflicting interests

The authors declare that they have no competing interests.

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