The Role of Connexin43 in Vascular Cognitive Diseases

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Introduction
In the early 1990s, vascular dementia (VD) was classified as an independent form of dementia in the Alzheimer’s disease Diagnostic and Treatment Centers (ADDTC) criteria, further extending the concept of dementia. Whereafter, the broader term “vascular cognitive impairment” (VCI).

Vascular cognitive impairment” (VCI) was introduced to recognize the heterogeneous nature of the contribution of vascular pathology to dementia, including many different subtypes and more subtle deficits that would not fulfill dementia criteria [1,2]. As the two commonest forms of cognitive impairment in the elderly [3], Alzheimer’s disease (AD) and VD bring more attention to the conception of the neurovascular unit (NVU) and more curiosities about the overlap between neurodegenerative and vascular factors in the pathogenesis of dementia.

The concept of the NVU was proposed as a functional entity with both neurons and microvessels together, including neurons, glia, perivascular and vascular cells. They are structurally, functionally, and developmentally interrelated to maintain the homeostasis of the cerebral microenvironment. As is well-known, the NVU plays a fundamental role in the broad spectrum of pathologies underlying VCI. It regulates blood flow, controls the exchange across the blood-brain barrier (BBB), contributes to immune surveillance in the brain, and provides trophic support to brain cells [4]. In normal brain, cellular types composing the NVU, include neurons, astrocytes and endothelial cells which all express pannexins and connexins. Pannexins and connexins are protein subunits of two families that form plasma membrane channels [5]. In central nervous system (CNS), gap junction (GJ) coupling occurs among both neurons and glial cells to form a highly coupled intercellular network. Each GJ is composed of two opposing hemichannels and each hemichannel is made of six connexin subunits arranged around a central pore. And connexin43 (Cx43) is one of the most abundant GJ proteins and the predominant connexins in astrocytes, which is expressed ubiquitously in astrocytes and microglia throughout the CNS [6].

There is increasing evidence outlining changes in Cx43 expression following CNS ischemic injury. Previous studies have shown the up-regulation of an unlocked hemichannel (CxHc) in human postmortem tissue following ischemia [7], suggesting the involvement of CxHc in the pathophysiological process of ischemic injury. More evidences demonstrated that the opening of unlocked CxHc led to consequent cell death after ischemic insults in different cell models including myocytes [8], astrocytes [9], and renal tubule cells [10]. In our previous in-vivo study, we proved that targeting Cx43 hemichannels is a therapeutic strategy for cerebral ischemic injury. Gap26 regulated the expression and distribution of Cx43 via Akt activation-dependent ubiquitin-proteasome pathway and showed a remarkable protection for neurological functions [11].

In recent years, more and more evidence has shown that hemichannels, the sub-component of GJs, play a role in neurodegenerative diseases. Similar high expression of Cx43 is reported
in models of AD [12], amyotrophic lateral sclerosis (ALS) [13], as well as Parkinson’s disease (PD) [14]. Changes of gap junction intercellular communication (GJIC) reflected by alterations in connexin expression and dye coupling have been associated with numerous CNS diseases [15,19]. Treatment with channel inhibitors can significantly improve the clinical symptoms of experimental animals [20]. Therefore we speculate that Cx43 may play a vital role in the pathogenesis of dementia between neurodegenerative and vascular factors.

**Cx43 and Dementia**

AD is currently the leading cause of dementia in elderly population [21] featuring gradually progressive cognitive and functional deficits as well as behavioral changes, eventually with social or occupational disability [22]. Studies have shown the correlation between AD and expression of Cx43. The expression of Cx43 is increased in the reactive astrocytes surrounding plaques in both human AD brain and murine models of familial AD (FAD) [23,24]. And Cx43 immunoreactivity co-localizes with astrocytes within 80% of amyloid plaques of human post-mortem tissues. Aβ has also been reported to increase CxHc activity in neurons, astrocytes, and microglia [25]. The opening of CxHc among neighbor neurons causes the spread of inflammatory injury, neurotoxic glutamate release and propagates the neurodegenerative process [26]. Meanwhile, Takeuchi et al reported that CxHC inhibitor improved cognitive function and reduced cytotoxic damage both in vitro and in vivo of AD [27].

In tissue from AD individuals, activated astrocytes were closely associated with amyloid plaques in the molecular layer of the cerebral cortex. Astrocytes might be activated by human amyloid-β (Aβ) [28]. All evidences show that there is a close relationship between Cx43/astrocyte and dementia. In our previous behavioral tests, the spatial memory and learning abilities as well as the muscle strength and balance abilities of rats significantly decreased after ischemic injury, which have been reversed by Gap26, the inhibitor of Cx43 hemichannel. Gap26 significantly inhibited the excessive activation of astrocytes and improve astrocytic functions to maintain homeostasis in CNS [11].

**VD and NVU**

More and more evidences showed cerebrovascular dysfunction associated with Cx43/astrocytes in both ischemia and neurodegenerative impairment, therefore we speculate that Cx43 plays an important role in the pathophysiology of VD. Abnormal expression of Cx43 protein or channel dysfunction may impair cerebral blood vessels and composing cells of NVU, thus affecting the occurrence, progression and outcome of VCI.

VD is a syndrome with heterogeneous pathophysiological mechanisms, exposed to some common vascular risk factors [29]. The incidence of post-stroke or stroke-associated cognitive dysfunction is extremely high. In 2011, a scientific consensus statement about VCI and dementia from the American Heart Association (AHA) and American Stroke Association (ASA), pointed out that the NVU dysfunction is likely to be an important component of pathophysiological processes underlying VCI, and might be the common target of cerebrovascular and neurodegenerative disease [30]. Neurovascular dysfunction leads to brain tissue vulnerability, including the cerebrovascular regulation dysfunction, the BBB destruction, and reduced nutrition supply and impaired repairing potential of damaged brain tissue. Chronic, long-term low perfusion damage, oxidative stress, inflammatory response, and vascular barrier permeability change are all underlying mechanisms for VCI and VD [4]. Glial cells, including astrocytes and microglial cells, play an important neuromodulatory role in the development of dementia. Astrocytes were proved to be capable of cell-to-cell communication through gap junctions, suggesting an important role for modulation of neuronal and vascular function [31]. At the same time, Cx43, expressed ubiquitously in astrocytes and microglia throughout the CNS, appears to be the major connexin to do this job.

**The NVU and Cx43**

- **Regulation of cerebral blood flow**

The brain vasculature has an intimate developmental, structural, and functional relationship with the brain tissue; their cellular elements forming a functional domain termed the neurovascular unit [32]. Astrocytes comprise a part of cellular elements of the NVU. How astrocytes participate in cerebral blood flow regulation focused on their foot processes. Zonta et al. found that electrical stimulation of astrocytes...
Blood–brain barrier (BBB) dysfunction changes to shape the vasomotor conductivity. Connexin 43 hemichannels, the most ubiquitously expressed gap junction proteins in the choroid plexus (CP), were found to be the important par cellular route for solute transport. The opening of Cx43 hemichannels destroys BBB and has been found to be associated with neurodegenerative disorders, such as PD and AD [48,49]. On the other hand, there are some other proteins involved in maintaining the BBB integrity, including zona occluden (ZO)-1. Evidences showed ZO-1 deficiency disrupts tight junction (TJ), associated with BBB breakdown in many neurological disorders [50]. Recent studies demonstrated that Cx43 could interact with ZO-1, and the inhibition of the interaction would decrease hemichannel-mediated membrane permeability [51,52].

On the other hand, emerging evidence implicates the microvascular bed as one of the key players in the overall regulation of cerebral blood flow. Rosenblum et al. first presented evidence for the presence of coordinated vasomotor responses (CVR) in mouse pial arterioles [35]. Dietrich et al. [36] suggested that endothelial cells appeared to have a key role in the conducted responses of intracerebral arterioles to potassium. Cx43 has been identified in the smooth muscle and endothelial cells of blood vessels [37,38] and abundant at end-foot processes along blood vessels, as well as within astrocytic processes that surround chemical synapses [39]. An endothelial cell-specific Cx43 knock-out mouse shows hypotension and bradycardia correlating with elevated plasma levels of nitric oxide and angiotensins I and II, suggesting the importance of Cx43 gap junctions for maintenance of vascular tone [40]. Hemichannels formed by Cx43 are mostly active in pathological conditions, which have recently been shown to regulate cognitive function. The activity of astroglial Cx43 hemichannels in resting states regulates basal excitatory synaptic transmission [41,42]. After ischemic insult and other possible harm on cerebral blood flow, the opening of Cx43 channel regulates electrolyte balance inside and outside cells while connexin expression changes to shape the vasomotor conductivity.

# Blood–brain barrier (BBB) dysfunction

The BBB is a highly selective semipermeable membrane barrier separating the central nervous system (CNS) from peripheral circulation, permitting essential nutrients to reach the brain while restricting the passage of harmful substances [43]. As a complex cellular gate for CNS, BBB is one of the underexplored brain structures in ageing and dementia. Within the NVU, the endothelial cells forming the BBB restrict the entry of potentially neurotoxic plasma components, blood cells, and pathogens into the brain [44]. White matter BBB alterations are early findings in VCI [45,46]. BBB hyper permeability further contributes to cerebral microvascular diseases such as lacunar strokes and leukoaraiosis, which are the typical imaging manifestations of VCI [47].

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Cx43 activities might be regulated through Wnt/β-catenin signaling pathway for the BBB formation. Dickkopf-1(Dkk-1) as a classic Wnt/β-catenin pathway inhibitor, has been confirmed to be related to a variety of pathophysiological processes including AD, temporal lobe epilepsy, ischemic brain damage and so on, with down-regulation of Cx43. Ana Martin et al. have shown that lack of DKK-1 can improve spatial memory function, memory consolidation and emotional behavior in adult mice. In AD, dkk-1 was involved in the pathological process of β-amyloid deposition and Tau hyperphosphorylation. In the transient ischemic and permanent cerebral artery ischemia model, the secretion of Dkk-1 was found to inhibit the occurrence of nerve cell damage induced by Wnt/β-catenin pathway. Thus, Dkk 1 and Wnt signaling pathway associated with Cx43 is also the common target for neurodegenerative and vascular disease [53,54]. Whether Cx43 participate in the development of VD through Dkk-1 and Wnt signaling pathway? We don’t yet know.

It was suggested that BBB disruption in cerebrovascular disease is due to the function alteration of adherens and TJs through modulation of protein expression, which are calcium dependent [55]. Cx43 homozygous null mice revealed an important role for Cx43 in the regulation of intercellular calcium ([Ca²⁺]i) signaling and functional dye coupling [56].
Role of oxidative stress and inflammation

The NVU is an important checkpoint regulating the afferent and efferent arms of the immune system and shaping the immune responses of the brain. The cells of the NVU are involved in the initiation and expression of adaptive and innate immune responses of the brain.

Vascular oxidative stress and inflammation are key pathogenic factors for neurovascular dysfunction [60-63]. Park et al. proved that radicals produced by superoxide-producing enzyme nicotinamide adenine dinucleotide phosphate(NADPH) oxidase are responsible for the deleterious cerebrovascular effects associated with various VCI risk factors [64-67]. Vascular oxidative stress and inflammation impede the proliferation, migration, and differentiation of oligodendrocyte progenitor cells and compromise repairing of the damaged white matter [68-70]. On the other hand, astrocytes contribute to a variety of dynamic regulations in the neural system and emerge as pivotal regulators of CNS inflammation responses, with important implication for diverse CNS disorders [71,72]. Changes of GJIC reflected by dye coupling and connexin expression have been associated with numerous CNS inflammatory diseases. A general consequence of inflammation responses is reactive gliosis distinguished by astrocyte hypertrophy and proliferation of astrocytes and microglia. In our study, accompanied by the excessive expression of Cx43 after ischemia hypoxia, we observed the excessive activation of astrocytes, and Cx43 inhibitors can obviously restrain this phenomenon and restore the normal function of astrocytes. Chanson et al. also proved that inhibition of Cx43 hemichannels could restrict the intercellular diffusion of inflammatory molecules and effectively limiting the spread of inflammatory responses [73]. Indeed, gap junctional communication and Cx43 expression among astrocytes are affected during cerebral ischemia, with a large number of activated macrophages/microglia [24,74,75].

As another important cellular component of the NVU, pericytes have a vital role in many neurological diseases, such as AD [76-79], mild dementia [80], amyotrophic lateral sclerosis (ALS) [81], and stroke [82], because of the physiological roles in maintaining BBB integrity, aiding in angiogenesis and microvascular stability [44,83], regulating capillary diameter and CBF [82,84], and phagocytosing toxic metabolites and exotic pathogens [85]. Connexins as the important connection between pericytes and endothelial cells, contribute to maintaining pericytic function.

Trophic coupling in the neurovascular unit

Cellular components in the NVU such as neurons, astrocytes, oligodendrocytes, vascular and perivascular cells are in a state of close trophic and metabolic codependence, playing a defining role in the physiological functions of brain. In the adult nervous system, neuroblasts migrate along blood vessels, a process dependent on brain derived neurotrophic factor (BDNF) secretion by endothelial cells [86]. Endothelial cells can also promote the proliferation and survival of oligodendrocytes (oligovascular niche) by activating the Akt/PI3 kinase pathway through BDNF and fibroblast growth factor (FGF) [87].

After brain injury, growth factors released from endothelial cells like BDNF, vascular endothelial derived growth factor (VEGF), stromal-derived factor 1, and angiopoietin-1 orchestrate the migration and differentiation of neuroblasts [88-90]. Moreover, astrocytes also release neurotrophic factors, contributing to neurotransmitter metabolism, in addition to their well-established interactions with neurons [91].

Loss of trophic support may impede the proliferation, migration, and differentiation of oligodendrocyte progenitor cells, and compromise the repair of the damaged white matter in VCI [68-70,92]. Dysfunction of neurons and glia is associated with endothelial cell atrophy and microvascular rarefaction for their trophic support to vascular cells [93]. Decreased capillary density not only occurs at lesioned sites, but also in normal appearing white matter in VCI patients, possibly due to loss of neuron and/or glial-derived growth factors [94]. Trophic interactions are also critically involved in the demyelination and remyelination associated with leukoaraiosis.

In our previous study, Cx43 inhibitor Gap26 protected brain through the Akt activation-
dependent pathway after hypoxic-ischemic damage [11]. Our unpublished pre-experiments showed that the overexpression of Cx43 after ischemic hypoxic brain injury was accompanied by the reduction of BDNF. Therefore, whether the trophic coupling in the NVU is related to Cx43 function requires further proofs.

**Conclusion**

Vascular pathologies contribute to neurodegenerative changes and vice versa. Although there is evidence that ischemia promotes Aβ accumulation by enhancing its production and reducing its elimination, the impact that ischemia exerts on tau pathology is still less well understood. The vascular pathologies could synergistically contribute to the neurodegenerative changes resulting in cognitive decline more than one by one pathology do alone (synergistic effects) (Figure 1). Synergistic pathogenic interaction between vascular and neurodegenerative pathologies is biologically plausible because in animal models ischemia promotes Aβ accumulation and, in turn, Aβ aggravates ischemic injury. In conclusion, vascular lesions are detrimental to cognitive function either by directly damaging neural pathways involved in higher integrated functions or by aggravating AD pathological process.

Due to a blowout of cerebrovascular diseases and the unhealthy lifestyle in modern society, the incidence of VD has increased. In recent years, cerebral small vessel disease (CSVD) has been studied a lot by researchers, and VD is one of the most important clinical manifestations of CSVD. Considering that some vascular risk factors are modifiable, approaches to treat dementia should rely heavily on strategies to regulate cerebrovascular risk factors. The coexistence of ischemic and neurodegenerative pathologies raises a number of questions related to their impacts on cognition, and has vital implications for the prevention, diagnosis, and treatment of VCI and VD.

**Figure 1**: The association of Cx43 and vascular cognitive impairment.

Cx43, ubiquitously expressed in astrocytes and microglia, as a component of NVU, plays an important role in the pathogenesis of VCI, mainly from four aspects: regulation of cerebral blood flow, blood-brain barrier function, oxidative stress and inflammation, roles in trophic coupling in NVU.
Cx43 assumptions in the future, which is clinically meaningful for early screening of high-risk population of VD and providing a new target for VD intervention. Cx43 might become an important target for prognosis and treatment of cognitive dysfunction. Researches on cerebrovascular diseases and neurodegenerative diseases have been relatively comprehensive; however, systematic researches on Cx43 roles in the NVU, especially vascular dementia, are rare. Based on the previous fragmented researches and our results on Cx43 in ischemic stroke, we look forward to providing a new perspective on VD and more related researches to verify the theoretical.

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